

#11 log for refund  
3-13-02

JANSSON, SHUPE & MUNGER, LTD.

PETER N. JANSSON\*  
JOHN E. MUNGER\*  
EDWARD R. ANTARAMIAN  
JAMES P. DELANEY\*  
LARRY L. SHUPE (OF COUNSEL)

\*ALSO ADMITTED IN ILLINOIS

INTELLECTUAL PROPERTY LAW

MAIN PLACE - 245 MAIN STREET  
RACINE, WISCONSIN 53403

262/632-6900

FAX: 262/632-2257

EMAIL: JANLAW@JANLAW.COM

February 1, 2002

Honorable Commissioner of Patents & Trademarks  
Washington, D.C. 20231  
Attn: Deposit Account Refund Branch

Re: Account No.: 10-0270  
Statement Date: December 31, 2001  
Serial No. 09/599,269  
Control No. 3  
Amount: \$400.00  
Attorney Docket No. OF-102US

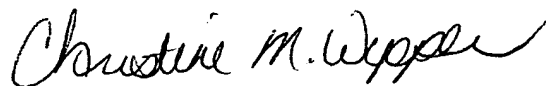
Dear Sir:

Our monthly deposit account statement (copy enclosed) appears to include an error as set out above.

We respectfully request a refund of \$400 because we previously paid the correct amount of \$200 which represented an extension fee at the small entity rate. (A copy of the Small Entity Declaration and Amendment Transmittal is enclosed.)

Please credit deposit account number 10-0270 with the amount of \$400, and notify us in writing when you have done so. If there is a question regarding this matter, please contact us.

Very truly yours,



Christine M. Wipper  
Assistant to Peter N. Jansson

Enclosures



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

MONTHLY STATEMENT  
OF DEPOSIT ACCOUNT

To replenish your Deposit Account, detach and  
return top portion with your check. Make check  
payable to Commissioner of Patents & Trademarks.

Account No.	100270
Date	12-31-01
Page	1

JANSSON SHUPE BRIDGE & MUNGER LTD  
ATTN: SHARON JANUS OFF MGR  
245 MAIN STREET STE M

FINA

RACINE WI 53403

PLEASE SEND REMITTANCES TO:  
Patent and Trademark Office  
P.O. Box 70541  
Chicago, Ill. 60673

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
12	4	01	3	09599269	OF-102US	116	400.00	2018.00
12	6	01	20	09142714	JFH-A1055	148	110.00	1908.00
12	14	01	57	PCT/US01/24841		704	-250.00	2158.00
12	21	01	72	09957167	15162/04010	205	65.00	2093.00
12	28	01	1	09232743	PH-4070-R-CO	215	55.00	2038.00

RECEIVED

JAN 28 2002

JANSSON, SHUPE & MUNGER, LTD

AN AMOUNT SUFFICIENT TO  
COVER ALL SERVICES REQUESTED  
MUST ALWAYS BE ON DEPOSIT.

OPENING BALANCE  
2418.00

TOTAL CHARGES  
630.00

TOTAL CREDITS  
250.00

CLOSING BALANCE  
2038.00

\*\*\* 00 INDICATES OVERDRAWN

**COMBINED AMENDMENT & PETITION FOR EXTENSION OF  
TIME UNDER 37 CFR 1.136(a) (Small Entity)**

Docket No.  
OF-102US

In Re Application Of: Rose et al.

Serial No.  
09/599,269

Filing Date  
June 22, 2000

Examiner  
M. Ocampo

Group Art Unit  
1723

Invention: Improved Annular Pleated Filter Cartridge for Liquid Filtration Apparatus

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a combined amendment and petition under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the Office Action of June 28, 2001 in the above-identified application.  
*Date*

The requested extension is as follows (check time period desired):

☐ One month    ☒ Two months    ☐ Three months    ☐ Four months    ☐ Five months

from: September 28, 2001 until: November 28, 2001  
*Date* *Date*

A verified statement of small entity status as a small entity under 37 CFR 1.27:

- ☐ is enclosed.  
☒ has already been filed in this application.

The fee for the amendment and extension of time has been calculated as shown below:

**CLAIMS AS AMENDED**

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	31 -	29 =	2	x \$9.00	\$18.00
INDEP. CLAIMS	2 -	2 =	0	x \$42.00	\$0.00
FEE FOR AMENDMENT					\$18.00
FEE FOR EXTENSION OF TIME					\$200.00
TOTAL FEE FOR AMENDMENT AND EXTENSION OF TIME					\$218.00

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 CFR 1.9(f) AND 1.27 (b)) - INDEPENDENT INVENTOR**

Docket No.  
OF-102US

Serial No.  
TBD

Filing Date

Patent No.

Issue Date

Applicant/ Stephen W. Rose and Steven L. Hughes  
Patentee:

Invention: Improved Annular Pleated Filter Cartridge for Liquid Filtration Apparatus

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled above and described in:

- ☒ the specification to be filed herewith.  
☐ the application identified above.  
☐ the patent identified above.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ No such person, concern or organization exists.  
☐ Each such person, concern or organization is listed below.

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR 1.27)

FULL NAME

ADDRESS

☐ Individual

☐ Small Business Concern

☐ Nonprofit Organization

FULL NAME

ADDRESS

☐ Individual

☐ Small Business Concern

☐ Nonprofit Organization

FULL NAME

ADDRESS

☐ Individual

☐ Small Business Concern

☐ Nonprofit Organization

FULL NAME

ADDRESS

☐ Individual

☐ Small Business Concern

☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF INVENTOR Stephen W. RoseSIGNATURE OF INVENTOR 

DATE:

6/22/00NAME OF INVENTOR Steven L. HughesSIGNATURE OF INVENTOR 

DATE:

6/22/00

NAME OF INVENTOR \_\_\_\_\_

SIGNATURE OF INVENTOR \_\_\_\_\_

DATE:

NAME OF INVENTOR \_\_\_\_\_

SIGNATURE OF INVENTOR \_\_\_\_\_

DATE:

NAME OF INVENTOR \_\_\_\_\_

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DATE: